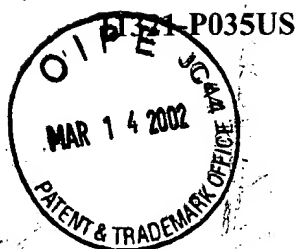


1754



PATENT

- 1 -

UNITED STATES PATENT AND TRADEMARK OFFICE

#4
10/2/03

In re Application of:
Richard E. Smalley et al.

: Group Art Unit:
: 1754

Serial No.: 09/935,994

: Examiner: Not Yet Assigned

Filed: August 23, 2001

Title: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner for Patents
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Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on March 5, 2002.

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While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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By: 

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**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 09/935,994
Applicants: Richard E. Smalley et al.
Filing Date: August 23, 2001
Group: 1754
Atty. Docket No.: 11321-P035US

Reference Designation

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Translation Yes No
AAA	EP 0 949 199 A1	10/13/1999	Europe			Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.